

Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 11152
Application ID: 09681392
Title of Invention: Methods and Systems for
Conducting Due Diligence
First Named Inventor: Ronald Kubick
Domestic/Foreign Application: Domestic Application
Filing Date: null
Effective Receipt Date: 2001-03-28
Submission Type: Utility Patent Filing
Filing Type: new-utility
Confirmation Number: 0
Attorney Docket Number: 85CF-00125
Digital Certificate Holder: cn=Robert E. Slenker, ou=Registered Attorneys, ou=Patent and
Trademark Office, ou=Department of Commerce, o=U.S.
Government, c=US
Certificate Message Digest: Y/P3SNZYwNK6kbybdkHiXA==
Total Fees Authorized: \$1768.0

Payment Category: DA - Deposit Account
Deposit Account Number: 12384
Deposit Account Name: Robert E. Slenker



TRANSMITTAL FORM



Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: 85CF-00125

Methods and Systems for Conducting Due Diligence

First Named Inventor: Mr. Ronald S. Kubick

SUBMITTED BY

Name:	Mr. Robert E. Slenker
Registration Number:	45112
Electronic Signature Mark: Robert E. Slenker	Date Signed: 20010328

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

bibd-transmittal	1724319apds.xml
fee-transmittal	1724319fee.xml
specification	application.xml
declaration	dec_pg1.tif
declaration	dec_pg1.tif

dec_pg2.tif

NAME	AGE	SEX	RELATION	DATE	TIME	PLACE	REMARKS
JOHN	25	M	SON	1950	10:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	11:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	11:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	12:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	12:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	13:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	13:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	14:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	14:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	15:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	15:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	16:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	16:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	17:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	17:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	18:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	18:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	19:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	19:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	20:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	20:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	21:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	21:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	22:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	22:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	23:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	23:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	24:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	24:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	25:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	25:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	26:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	26:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	27:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	27:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	28:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	28:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	29:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	29:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	30:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	30:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	31:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	31:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	32:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	32:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	33:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	33:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	34:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	34:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	35:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	35:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	36:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	36:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	37:00	SCHOOL	GOOD
JOHN	25	M	SON	1950	37:30	HOME	WELL
MARY	22	F	DAUGHTER	1950	38:00		

[illegible]

DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.

17243-00019

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHODS AND SYSTEMS FOR DETERMINING ROLL RATES OF LOANS, the specification of which:

(check one) ☒ is attached hereto

☐ was filed on _____ as Application Serial No. _____
and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim priority benefits under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
60/197,143	April 14, 2000	
_____	_____	
_____	_____	

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Ronald E. Myrick, Reg. No. 26,315; Henry J. Policinski, Reg. No. 26,621; Jay L. Chaskin, Reg. No. 24,030; Henry I. Steckler, Reg. No. 24,139; and James W. Mitchell, Reg. No. 25602, all of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06431; John S. Beulick, Reg. No. 33,338; Patrick W. Rasche, Reg. No. 37,916; Michael Tersillo, Reg. No. 42,180; Bruce T. Atkins, Reg. No. 43,476; Alan L. Cassel, Reg. No. 35,842; Stephen R. Cooper, Reg. No. 42,437; Robert E. Slenker, Reg. No. 45,112; Donald R. Holland, Reg. No. 35,197; Gordon F. Sieckmann, Reg. No. 28,667; and Natu J. Patel, Reg. No. 39,559; Robert B. Reaser III, Reg. No. 45,548 all of Armstrong Teasdale LLP, One Metropolitan Square, Suite 2600, St. Louis, MO 63102-2740

Send Correspondence to:

John S. Beulick
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102-2740

Direct Telephone Calls To:

John S. Beulick
314/621-5070

DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.

17243-00019

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full Name: Ronald S. Kubick

Signature: _____

Date: _____

Residence: Newtown, CT 06470Citizenship: USAPost Office Address: 3 Trout Brook Circle, Newtown, CT 06740

SECOND JOINT INVENTOR, IF ANY:

Full Name: Preston H. Abbott

Signature: _____

Date: _____

Residence: Guilford, CT 06437Citizenship: USAPost Office Address: 161 Dunk Rock Road, Guilford, CT 06437

THIRD INVENTOR, IF ANY:

Full Name: James F. Hogan, Jr.

Signature: _____

Date: _____

Residence: Rowayton, CT 06853Citizenship: USAPost Office Address: 36 Westmere Avenue, Rowayton, CT 06853

FOURTH INVENTOR, IF ANY:

Full Name: John C. Chang

Signature: _____

Date: _____

Residence: Stamford, CT 06902Citizenship: USAPost Office Address: 60 Horton Street, 2nd Floor, Stamford, CT 06902

FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 1768

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 01-2384



Deposit Account Name: Armstrong Teasdale LLP

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

SUBMITTED BY

Authorized Name: Robert E. Slenker

Electronic Signature Mark: Robert E. Slenker

Date Signed: 20010328

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 710

Subtotal For Basic Filing Fee: \$ 710

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 61	103	\$ 18	41	\$ 738
Independent Claims: 7	102	\$ 80	4	\$ 320

Subtotal For Extra Claims Fees: \$ 1058